

10/584440

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Practitioner's Docket No. 2003FR302

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: ALAIN SHOUTTEETEN

Examiner:

Application No.:

Group No.:

Filed: 06/22/2006

For: PROCESS FOR THE PREPARATION OF N-ALKYL-2(HYDROXY-4
BENZOYL)- 3 BENZOFURANS AND ITS INTERMEDIATES THEREOFCommissioner for Patent
P.O. Box 1450
Alexandria, VA 22313-1450

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Applicant: ALAIN SHOUTTEETEN
Serial No. .
Filed 06/22/2006
Page 2

Dear Sir:

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith).

1. ☒ Enclosures accompanying this Information Disclosure Statement are:
 - 1a. ☒ Form PTO-1449 (PTO/SB/08a and/or PTO/SB/08b).
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2. ☒ This Information Disclosure Statement is filed under 37 CFR §1.97(b) with the filing of the U.S. patent application. Accordingly, no fee or §1.97(e) Statement is required.
3. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(b) before the latter of three months after the U.S. patent application filing date or the first Office Action on the merits. Accordingly, no fee or §1.97(e) Statement is required.
4. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(c) after the first Office Action on the merits, but before a Final Office Action or a Notice of Allowance. The \$180.00 fee set forth in 37 CFR §1.17(p) in accordance with 37 CFR §1.97(c) is to be charged to Deposit Account No. 03-2060.
5. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(e) in that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Accordingly, no fee is required.
6. ☐ This Information Disclosure Statement is filed in conjunction with a Request For Continued Examination. Accordingly, no fee or §1.97(e) Statement is required.
7. ☐ The English language documents identified by reference in the TRANSLATION Section of the foreign patents list of the attached 1449 Form are believed to be a concise statement, or the equivalent or substantial equivalent to the foreign language documents cited in the attached 1449 Form.
8. ☐ In addition to the documents cited, the Office's attention is directed to the following co-pending U.S. Application Serial Number(s), copies of which are attached for the convenience of the Office:

Respectfully submitted,


Richard P. Silverman, Registration No. 36,277

CUSTOMER NO. 25,255

Clariant Corporation, Industrial Property Department, 4000 Monroe Road, Charlotte, North Carolina 28205
Telephone: 704/331-7156, Facsimile: 704/331-7707

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number	
Filing Date	06/22/2006
First Named Inventor	ALAIN SHOUTTEETEN
Art Unit	
Examiner Name	
Attorney Docket Number	2003FR302

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	AA	US- 3,983,245	09/28/1976	LADD	
	AB	US- 5,223,510	06/29/1993	GUBIN	
	AC	US- 5,854,282	12/29/1998	MELLIN	
	AD	US-			
	AE	US-			
	AF	US-			
	AG	US-			
	AH	US-			
	AI	US-			
	AJ	US-			
	AK	US-			
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FOREIGN PATENT DOCUMENTS

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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	BA	WO 99/58519	11/18/1999	Am Home Products		<input type="checkbox"/>
	BB					<input type="checkbox"/>
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		Application Number	
		Filing Date	06/22/2006
		First Named Inventor	ALAIN SHOUTTEETEN
		Art Unit	
		Examiner Name	
Sheet	2	of	2
		Attorney Docket Number	2003FR302

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	CA	POWERS, LARRY J., "Chemistry and antibacterial activity of nitrobenzofurans", Journ. of Medicinal Chemistry, (1976), 19(1), pages 57-62	<input type="checkbox"/>
	CB	International Search Report for PCT/IB2004/004158, Mailed 1/31/2005	<input type="checkbox"/>
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